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Patent Application Attorney Docket No.: 62687.000111 Client Reference No.: D-02005-US



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

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Gregory G. CAPPIELLO

Group Art Unit: Unassigned

Appln. No.: 10/771,413

Examiner:

Filed: February 5, 2004

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For: DIFFRACTION GRATING FOR

WAVELENGTH DIVISION

MULTIPLEXING/DEMULTIPLEXING

**DEVICES** 

## Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated individuals") to disclose all information known to that individual to be material to patentability, Applicant(s) hereby submits attached Form PTO-1449 (modified) listing cited references. This submission is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest

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any aspect of the invention described and claimed in the aboveidentified patent application. Applicant(s) hereby expressly
reserves the right to swear behind the effective dates of any of
the cited references. Applicant(s) further reserves the right
to question the relevance, materiality, and/or prior art status
of any of the cited references in whole, in part, or in
combination, subsequent to the filing of this information
disclosure statement. This information disclosure statement is
also not to be construed as a representation that a search has,
or has not, been conducted or that no better art exists.
Rather, this information disclosure statement discloses only the
best references of which the associated individuals are aware.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the enclosed Form PTO-1449 (modified), to sign the initialed Form PTO-1449 (modified), and to return a copy of the same with the next communication to the Applicant(s).

Since all copies of the cited references except U.S. Patent 6,577,762 were previously submitted in prior U.S. Patent Application Nos. 09/724,803 and 60/208,478, copies of the cited references are not being submitted herewith. However, copies will be forwarded at the request of the Examiner. A copy of

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U.S. Patent 6,577,786 is enclosed herewith.

In accordance with 37 CFR § 1.97(b), this information disclosure statement is being filed (i) within three months of the filing date of the above-identified patent application; (ii) within three months of the date upon which the above-identified patent application entered the national stage as set forth in 37 CFR § 1.491; (iii) before the mailing date of a first Office Action on the merits for the above-identified patent application; or (iv) before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114. Accordingly, no statement or fee is required.

However, if any fees are necessitate due in connection with the filing of this communication to Deposit Account No. 50-0206, and please credit any excess fees to such deposit account.

Respectfully submitted,

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TEA/sdw

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Date: May 5, 2004

FORM PTO-1449	U.S.	DEPARTMENT	OF COMMERCE
(REV. 7-80)		PATENT AND	TRADEMARK OFFICE

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E LIST OF MATERIALS CITED BY APPLICANT

ATTY. DOCKET NO.:
62687.000111
10/771,143
INVENTOR'S NAME:
Gregory G. CAPPIELLO et al.
FILING DATE:
February 5, 2004
SERIAL NO.:
10/771,143
EXAMINER:
Unassigned
Unassigned

(Use several sheets if necessary)

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**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SERIAL NO.: FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO.: 62687.000111 PATENT AND TRADEMARK OFFICE 10/771,143 (REV. 7-80) INVENTOR'S NAME: **EXAMINER:** Gregory G. CAPPIELLO et al. Unassigned LIST OF MATERIALS CITED BY APPLICANT GROUP: FILING DATE: February 5, 2004 Unassigned (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** 5457573 10/10/1995 lida et al. 29. Knox et al. 5526155 06/11/1996 30. 5555334 09/10/1996 Ohnishi et al. 31. 5583683 12/10/1996 Scobey 32. 5748815 05/05/1998 Hamel et al. 33. 5777763 07/07/1998 Tomlinson, III 34. 5793912 08/11/1998 Boord et al. 35. 5796479 08/18/1998 Derickson et al. 36. 5835458 11/10/1998 Bischel et al. 37. 5907436 05/25/1999 Perry et al. 38. 5912997 06/15/1999 Bischel et al. 39. 5914811 06/22/1999 Chen et al. 40. 5946128 08/31/1999 Pack 41. 5970190 10/19/1999 Fu et al. 42. 6002522 12/14/1999 Todori et al. 43. 20010046087 11/29/2001 Hoose 44. 6577786 06/10/2003 Cappiello et al. 45. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE TRANSLATION COUNTRY **CLASS** SUB-**CLASS** ŅO 0323238 A2 07/05/1989 **EUROPEAN** 46. 0540966 A1 05/12/1993 **EUROPEAN** 47. EP 0859249 A1 08/19/1998 48. 9948197 09/23/1999 WO 49. 12/29/1999 wo 99/67609 A1 50. 0118577 A1 03/15/2001 wo 51. 10/04/2001 wo 0173484 A1 52. 0206860 A1 01/24/2002 wo 53. **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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,	IST OF MATERIALS CITED BY APPLICANT	INVENTOR'S NAME: Gregory G. CAPPIELLO et al.	EXAMINER: Unassigned			
(Use several sheets if necessary)		FILING DATE: February 5, 2004	GROUP: Unassigned			
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